



Chart Audit Worksheet – Pediatricians

**25 charts chosen randomly by the practice visitors from the day-sheets from
3 separate half-days within the previous month**

- A minimum of 15 randomly chosen charts must be reviewed.
- Other charts may be specifically selected to review certain patient- or disease-types.

A. Organization of the Charts

Before starting the audit, choose 3 charts to review immediately with the physician’s help.

“Please show me how to find each of the following in the chart.”

Using these 3 charts, complete the scale to the right.		<i>Present in <u>ALL</u> three files?</i>
(a)	Are the records legible?	Yes <input type="checkbox"/> No <input type="checkbox"/>
(b)	Can the physician identify, by any means (e.g. handwriting, stamp, signature, etc), who has made the entries on the files?	Yes <input type="checkbox"/> No <input type="checkbox"/>
(c)	Is a patient’s name, date of birth and address and/or phone number identified in each chart?	Yes <input type="checkbox"/> No <input type="checkbox"/>

Using these same 3 charts above, please complete the scale to the right.		<i>Present in <u>AT LEAST ONE</u> of the three files?</i>
(d)	Allergies and drug reactions	Yes <input type="checkbox"/> No <input type="checkbox"/>
(e)	Current medications	Yes <input type="checkbox"/> No <input type="checkbox"/>
(f)	Past medical history	Yes <input type="checkbox"/> No <input type="checkbox"/>
(g)	Laboratory and x-ray results	Yes <input type="checkbox"/> No <input type="checkbox"/>
(h)	Occupational history	Yes <input type="checkbox"/> No <input type="checkbox"/>

B. Evidence of Care Provided

Starting with the most recent visit and going back up to one year if necessary, please record the number of charts meeting each of the following criteria.

	Presence in the Files			Comments <i>(Mandatory for “No”)</i>
	<i>Yes</i>	<i>No</i>	<i>N/A</i>	
a) For referred patients, is referral information present?				

	Presence in the Files			Comments (Mandatory for "No")
	Yes	No	N/A	
b) Do the recorded history and physical findings support the problem or diagnosis?				
c) Are problems or diagnoses recorded in the chart?				
d) Have important health issues been left unmanaged?				
e) Do x-rays and laboratory tests support the problem or diagnosis?				
f) Are abnormal findings or results properly followed-up?				
g) Are the names, dosages and quantities of prescribed medications recorded?				
h) Are copies of consultation reports present on the medical record for referred patients?				
i) Are copies of letters present on referred patients who are reviewed in follow-up?				
j) Do reports to referring physicians contain:				
(i) relevant details of past health, family history, social history, general systems review				
(ii) clear diagnostic conclusions?				
(iii) investigation plan?				
(iv) management plan?				
(v) follow-up plan?				
(vi) clear statement of what the specialist will do, and what the referring physician should do?				

	Presence in the Files			Comments (Mandatory for "No")
	<i>Yes</i>	<i>No</i>	<i>N/A</i>	
(vii) explanations of diagnoses or treatment plans that may have educational value for the referring physician?				
(viii) contact information for clarification or discussion?				
k) Are copies of follow-up correspondence on the medical record when significant results become available after the initial report?				